	TY PATENT APPLICATION TRANSMITTAL y for new nonprovisional applications under 37 CFR 1.53(b)) Attorney Docket No. PNDF-01219
	To the Assistant Commissioner of Patents
S 2	Transmitted herewith for filing is the patent application of:
Invert to	
	ponding to Japanese application Nos. 2000-391385 and 2001-081183 filed
	December 2000 and 21 March 2001, respectively
Title: 1	WAVEGUIDE-TYPE OPTICAL CONTROL DEVICE AND PROCESS FOR PRODUCING AME
Enclos	ed are:
<u>63</u>	Pages of Specification containing 35 claims
<u>28</u>	Sheets of formal Drawings Abstract of the Disclosure
	Submission of English translation of prior provisional application under 37 CRR 1.78(a)(5)
	Newly executed Oath or Declaration/Power of Attorney
Total Control of the	Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)
The start there is a start of the start of t	 ☐ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. ☐ Deletion of inventor(s). A signed statement attached deleting inventor(s)
ļui.	named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b)
	Applicant claims small entity status under 37 C.F.R. § 1.27
\bowtie	Application Data Sheet under 37 C.F.R. § 1.76
<u>.</u>	Assignment Papers (cover sheet & document(s))
	Information Disclosure Statement (IDS) w/PTO-1449 - Copy of IDS citations
\boxtimes	Preliminary Amendment
\boxtimes	Itemized Return Receipt Postcard
\boxtimes	Certified Copy of Priority Document(s)
	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
a. b.	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) Computer Readable Form (CRF) Specification Sequence Listing on: CD-ROM or CD-R (2 copies); or ii. paper Statement verifying identity of above copies
	Other Attachment:

Attorney Docket No. PNDF-01210 UTILITY PATENT APPLICATION TRANSMITTAL (continued) If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: Divisional Continuation-in-part of Prior Appln. # Continuation Prior Application Information: Examiner: Tech Center: CORRESPONDENCE INFORMATION **Customer Number:** 000466 Bar Code Label: Correspondence Address: Young & Thompson 745 South 23rd Street, Second Floor Arlington, VA 22202 Telephone (703) 521-2297 Facsimile (703) 979-4709 he filing fee has been calculated as follows: **SMALL ENTITY** LARGE ENTITY **FOR** NUMBER FILED NUMBER RATE FEE RATE FEE إبا **EXTRA** m **BASIC FEE** \$370.00 \$740.00 **MOTAL CLAIMS** 35 - 20 = 15 \$9.00 \$ \$18.00 \$270 INDEPENDENT CLAIMS 8 11 - 3 = \$42.00 \$84.00 \$672 MULTIPLE DEPENDENT \$140.00 \$ \$280.00 CLAIM FEE TOTAL SMALL ENTITY TOTAL LARGE ENTITY \$1682 A Check in the amount of \$1722 to cover all fees is attached. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed. \boxtimes The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account. No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17. Thomas W. Perkins

Registration No. 33,027 745 South 23rd Street

Arlington, VA 22202 Telephone (703) 521-2297

TWP/srs

Date: 26 December 2001